

5. ELECTRONIC PERSONAL IDENTIFICATION NUMBER (E-PIN) (Please ✓) (Refer Instruction No. 5)

☐ I would like to apply for E-PIN. An E-PIN will allow you to access your account/transact through electronic channels, subject to the Terms & Conditions for the facility as made available by the AMC from time to time.

6. INVESTMENT/ PAYMENT DETAILS (Refer Instruction No. 6)

Scheme Name	Option	Dividend Facility	Dividend Frequency # (Please ✓ any one)
<input type="checkbox"/> Pramerica Liquid Fund <input type="checkbox"/> Pramerica Ultra Short Term Bond Fund	<input type="checkbox"/> Growth* <input type="checkbox"/> Dividend * Default Option	<input type="checkbox"/> Payout <input type="checkbox"/> Re-investment*	<input type="checkbox"/> Daily* <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly #Dividend Reinvestment facility is available on a Daily/ Weekly/ Fortnightly/ Monthly frequency; Dividend Payout facility is available at Fortnightly & Monthly frequency.

☐ Lumpsum Investment:

I. Cheque / DD Amount ₹

II. DD Charges ₹

III. Investment Amount ₹ (I + II)

Mode of Payment (✓) ☐ Cheque ☐ Demand Draft ☐ Fund Transfer

Instrument No. Dated

Name of the Bank A/c holder

Drawn on Name of the Bank

Branch & City

NRI / FII Investors, Please indicate source of funds for your investment (Please ✓) ☐ NRE ☐ NRO ☐ FCNR ☐ Others (Please specify)

☐ SIP Investment: (Monthly)

☐ SIP THROUGH AUTO DEBIT (ECS/Direct Debit) Please also fill and attach the SIP Auto Debit Facility Form OR

☐ SIP THROUGH POST-DATED CHEQUE Second and subsequent Instalment cheque Details:

Cheque Nos. From To

Dated From To

Instalment Amt. (A) SIP Period

₹ Min ₹ 500 ☐ Till I instruct to discontinue the SIP OR ☐ No. of Instalments (B) Total Amount (C) = (AxB) ₹ (Min ₹ 5000)

I. First SIP Instalment Details: Mode of Payment (Please ✓) ☐ Cheque ☐ Demand Draft

Instrument No. Dated

Name of the Bank A/c holder

Drawn on Name of the Bank

Branch & City

II. Second and Subsequent Instalment Details:

SIP Period From To

SIP Date (Please ✓) ☐ 1st ☐ 7th ☐ 10th ☐ 15th ☐ 25th ☐ All 5 dates

(Please specify)

Third party cheque / transfer will not be accepted for investment

(Refer Instruction No. 6)

EXCEPTION TO THIRD PARTY PAYMENT (ie. payment by Guardian, Employer or a Custodian)

The details of the cheque provided above pertain to my/our own bank account in my/our name ☐ Yes ☐ No

If No, my relationship with the bank account holder is (pls specify)

(Mandatory to ✓)

(Application Form without this information may be rejected)

7. SWITCH (Please ✓) if you want to avail this facility (Refer Instruction No. 7)

I/ We would like to switch all units purchased hereby, to the scheme indicated below.

Scheme Name	Scheme Option (Please ✓)	Dividend Facility (Please ✓)	Switch Frequency (Please ✓)	(*) Default Option
<input type="checkbox"/> PRAMERICA EQUITY FUND <input type="checkbox"/> PRAMERICA DYNAMIC FUND	<input type="checkbox"/> Growth* <input type="checkbox"/> Dividend	<input type="checkbox"/> Dividend Payout <input type="checkbox"/> Dividend Re-investment*	<input type="checkbox"/> All Units, in one lumpsum on the NFO closing date of switch in scheme <input type="checkbox"/> In Instalments, via STP (please fill the STP section below)	
STP (Systematic Transfer Plan)				
STP Amount: ₹ <input type="text"/>		STP Period: From <input type="text"/> To <input type="text"/>		No. Of Instalments: <input type="text"/>
STP Frequency: <input type="checkbox"/> Daily <input type="checkbox"/> Monthly		STP Dates: <input type="checkbox"/> 1st <input type="checkbox"/> 7th <input type="checkbox"/> 10th <input type="checkbox"/> 15th <input type="checkbox"/> 25th		

8. NOMINATION DETAILS (Refer Instruction No. 8)

I/We do hereby nominate the undermentioned Nominee(s) to receive the Units allotted to my/our credit in my/our folio in the event of my/our death. I/We also understand that all payments and settlements made to such Nominee(s) and Signature of the Nominee(s) acknowledging receipt thereof, shall be a valid discharge by the AMC/Mutual Fund/Trustees.

Name & Address of Nominee(s)	Date of Birth	Name & Address of the Guardian	Signature of Guardian	Proportion(%) (should aggregate to 100%) Default : Equal proportion
(To be furnished in case the Nominee is a Minor)				
1.				
2.				
3.				

9. DECLARATION AND SIGNATURES (MANDATORY - APPLICATION WITHOUT SIGNATURE(S) WILL BE REJECTED) (Refer Instruction No. 9)

I/ I have read and understood the contents of the Statement of Additional Information of Pramerica Mutual Fund and the Scheme Information Document(s)/Key Information Memorandum of the respective Scheme(s) and Addenda thereto, issued from time to time and the Instructions overleaf. I/ We, hereby apply to the Trustee of Pramerica Mutual Fund for allotment of units of the respective Scheme(s) of Pramerica Mutual Fund, as indicated above and agree to abide by the terms, conditions, rules and regulations of the relevant Scheme(s). I/ We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I/We hereby declare that I/We do not have any existing Micro SIPs which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year. I/ We declare that that I/We are authorised to make this investment and the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme(s) is/are being recommended to me/us. I/We declare that the information given in this application form is correct, complete and truly stated. In the event of my/our not fulfilling the KYC process to the satisfaction of the AMC/Pramerica Mutual Fund, I/We hereby authorise the AMC/Pramerica Mutual Fund to redeem the units against the funds invested by me/us at the applicable NAV as on the date of such redemption.

Applicable to NRIs: I/We confirm that I am/We are Non-Resident(s) of Indian Nationality / Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account/FCNR Account(s).

SIGNATURE(S) (ALL APPLICANTS must sign here)

Date

Sole/1 st Applicant/Guardian/Authorised Signatory/POA	2 nd Applicant/Guardian/Authorised Signatory/POA	3 rd Applicant/Guardian/Authorised Signatory/POA
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If the investment is being made by a Constituted Attorney please furnish Name and PAN of Power of Attorney Holder (POA) in respect of each applicant below:

Name	POA Holder for 1 st Applicant	POA Holder for 2 nd Applicant	POA Holder for 3 rd Applicant
PAN	<input type="text"/>	<input type="text"/>	<input type="text"/>
KYC Status*	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

* (if Yes, attach proof)

CHECK LIST (Please submit the following documents with your application (where applicable). All documents should be original/true copies Certified by a Director/ Trustee/ Company / Secretary/ Authorised signatory/ Notary Public.)

Documents	Ind	Co.	Soc.	Partnership Firms	Investment through POA	Trusts	NRI
PAN Card (not required for Micro SIP)	✓	✓	✓	✓	✓	✓	✓
KYC Acknowledgement (If application for ₹ 50,000 or above)	✓	✓	✓	✓	✓	✓	✓
Resolution/ Authorisation to invest	✓	✓	✓	✓	✓	✓	✓
List of authorised signatories with specimen signatures	✓	✓	✓	✓	✓	✓	✓
Memorandum & Articles of Association	✓						
Trust Deed						✓	
Bye-laws			✓				
Partnership Deed				✓			
Notorised POA (signed by investor and POA Holder)					✓		

For more information visit us at
www.pramericamf.com

E-mail us at
customercare@pramericamf.com

Call us (Toll free) at
1800 266 2667

GENERAL GUIDELINES

- a. Please read the Scheme Information Document(s) carefully before investing.
- b. Please furnish all information marked as 'MANDATORY'. In the absence of any mandatory information, the application would be rejected.
- c. APPLICATIONS BY MINOR: Applications for minors should be made on their behalf by their lawful/ registered guardians and signed by them. The name of the Guardian should be filled in the relevant space provided in the Application Form.
- d. Please strike off sections that are not applicable

INSTRUCTIONS

1. DISTRIBUTOR INFORMATION

- a. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.
- b. Please mention 'DIRECT' in case the application is not routed through any distributor.

2. (A) EXISTING INVESTORS

Existing Investors please fill your existing folio number and fill section 6 & 8 only.

2. (B) APPLICANT'S INFORMATION

- a. Please furnish names of all applicants. The name of the Sole /First Applicant should be mentioned in the same manner in which it appears in the Permanent Account Number (PAN) card. Please note the following:
 - In case the applicant is a Non-Individual investor the Contact Person's name should be stated in the space provided (Name of Guardian / Contact Person)
 - In case the applicant is a minor, the Guardian's name should be stated in the space provided (Name of Guardian / Contact Person). It is mandatory to provide the minor's date of birth in the space provided.
- b. If there is more than one applicant but the mode of holding is not specified, the same would be treated as **Joint**.
- c. Please indicate the tax status of the sole/1st applicant at the time of investment. The abbreviations used in this section are:
NRI : Non-Resident Indian Individual, **PIO** - Person of Indian Origin, **FII** - Foreign Institutional Investor, **NGO** - Non Government Organization, **AOP** - Association of Persons, **BOI** - Body of Individuals, **HUF** - Hindu Undivided Family.
- d. Please note that residents of US & Canada cannot invest in the schemes.
- e. Please mention your Occupation by ticking the appropriate option.

2. (C) PAN & KYC/PROOF OF IDENTITY DETAILS

Please furnish PAN & KYC details in this section for each applicant/unit holder, including the Guardian and/or Power Of Attorney (POA) holders as explained in (i) & (ii) below.

i. PAN

- a. Please note that furnishing of an attested copy of PAN card for each applicant is mandatory [except Central Government, State Government and the officials appointed by the Courts eg. Official Liquidator, Court Receiver, etc (under the category of government), investors residing in the state of Sikkim for all investments and investors making Micro SIP of Investment]. The attestation of the copy of PAN card may be done by a Notary Public or a Gazetted Officer or a Manager of a Bank or a financial adviser under his seal and should carry the name and designation of the person attesting it. In the absence of this, your application will be rejected.

For POA holder(s), PAN must be mentioned in the '**Declaration and Signature**'- Section 8 on the Application Form. Please note that PAN of Contact persons is not required.

Please note that pursuant to SEBI letter dated June 19, 2009 AMFI, and in compliance to AMFI guidelines dated July 14, 2009, investments in Systematic Investment Plan (SIP) where aggregate of instalments in a rolling 12 months period or in a financial year i.e. April to March does not exceed ₹ 50,000 per year per investor (referred as 'Micro SIP') are exempted from the requirement of Permanent Account Number such investors will be required to submit any one of the following proof of identity:

- Voter Identity Card
- Driving License
- Government/Defence identification card
- Passport
- Photo Ration Card
- Photo Debit Card
- Employee ID cards issued by companies registered with Registrar of Companies
- Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the / Legislative Assembly/Parliament
- ID card issued to employees of Scheduled Commercial/State/District Co-operative Banks.
- Senior Citizen/Freedom Fighter ID card issued by Government.
- Cards issued by Universities/deemed Universities or institutes under statutes like ICAI, ICWA, ICSI.
- Permanent Retirement Account No. (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL).
- Any other photo ID card issued by Central Government/ State Governments/ Municipal authorities / Government organizations like ESIC/EPFO

- b. The supporting document must be valid and a recent one and should be self attested by you or attested by the ARN holder mentioning the ARN number.
- c. While making subsequent Micro SIP applications with Pramerica, you can quote the existing folio number where a Micro SIP has been registered and therefore need not submit the supporting document again.
- d. **This exemption will be applicable ONLY to investments by individuals (including NRIs but not PIOs), Minors, Sole propriety firms and Joint holders. HUFs and other categories are not eligible to invest in Micro SIPs.**
- e. Please mention the Type of Photo Identification Document details (including the Identification No., if any) in this section (Section 2 in the Application Form).

ii. Know Your Customer

As per AMFI circular, 35/MEM-COR/54/10-11 dated 16th August 2010 from AMFI, KYC is compulsory for all non individuals, NRI and channel investors with effective date 01st October 2010 for any amount.

Please also note that for investments from individuals of ₹ 50,000 or more, it is mandatory for each applicant/unit holder to be KYC-compliant.

Please enclose a copy of the KYC Acknowledgement Letter issued by CDSL Ventures Ltd. with your application for investment.

Please also note the following:

- a. POA Holders need to be KYC-compliant.
- b. Guardians to minor applicants need to be KYC compliant. In case you are not yet KYC-compliant, please approach a Point of Service (POS) of CDSL Ventures Limited to obtain KYC compliance and submit a copy of your KYC Acknowledgement Letter to us.
- c. In the absence of a KYC Acknowledgement Letter, any applications for investments of ₹ 50,000 or more, would be rejected.

3. CONTACT INFORMATION

- a. Please furnish the full postal address of the Sole/ First Applicant with PIN/Postal Code and complete contact details. P.O. Box address is not sufficient.
- b. Please note that all communication i.e. Account statement, Annual Report, News Letters will be sent via e-mail, if the e-mail id of the investor is provided in the application form. The Account statement will be encrypted with a password before sending the same to the registered email id.
- c. Unit holders who have opted to receive account statement by e-mail are deemed to be aware of all security risks. Should the unit holder experience any difficulty accessing the electronically delivered documents, the unit holder shall promptly advise the Mutual Fund to enable the Fund to make delivery through alternate means.

4. BANK DETAILS

- a. Please furnish complete Bank Account Details of the Sole/First Applicant. This is a mandatory requirement and applications not carrying bank account details would be rejected.
 - b. **Please provide your complete Core Banking Account Number, (if applicable), in your Bank Mandate in the Application Form.** In case you are not aware of the Core Banking Account Number, kindly check the same with your Bank.
 - c. **You are also requested to attach a cancelled cheque leaf (or copy thereof) if your investment instrument is not from the bank account mentioned in the Application form.**
 - d. Pramerica Mutual Fund will endeavour to remit the Redemption and Dividend proceeds through electronic mode, wherever sufficient bank account details of the unit holder are available.
5. **E-PIN** : Please tick in the space provided to apply for E-PIN which would enable you to transact through the Electronic Channels, It is mandatory to provide either the Mobile No. or E-mail Id of the applicant for E-PIN.

6. INVESTMENT/PAYMENT DETAILS

Please submit separate application form for each scheme. Please indicate the Scheme and the Option under which you wish to invest. Also indicate your choice of dividend payout or re-investment along with the dividend frequency (in case there are more than one dividend frequency). If any of the information is left blank, the default option will be applicable.

Payment may be made only by Cheque or Bank Draft or Electronic Fund Transfer. Cheque/Draft should be drawn in favour of the "Scheme name", i.e., "**PRAMERICA LIQUID FUND**" or "**PRAMERICA ULTRA SHORT TERM BOND FUND**" as the case may be and crossed "Account Payee only".

Please choose, tick and fill in the appropriate section based on the Type of Investment i.e. LUMP SUM or SIP investment. Please fill an Auto Debit form in case of investment through SIP - Auto Debit Facility.

As per AMFI Best Practice Guidelines Circular No.16/2010-11, third party payments should not be accepted from November 15, 2010.

Third-Party Cheque by definition:

- When payment is made through instruments issued from an account other than that of the beneficiary investor, the same is referred to as Third-Party payment.
- It is clarified that in case of payments from a joint bank account, the first holder of the mutual fund folio has to be one of the joint holders of the bank account from which payment is made.

Exceptions to Third party payments:

- Payment by Parents/Grand-Parents/related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding ₹ 50,000/- (each regular purchase or per SIP installment)
- Payment by Employer on behalf of employee under Systematic Investment Plans through Payroll deductions.
- Custodian on behalf of an FII or a client.

Pramerica Mutual fund would require the following in case of third party payments in case of exceptional cases:

- Identity of the person making payment i.e. mandatory KYC the person making the payment.
- Obtaining necessary declaration from the Investor and the person making the payment. Declaration by the person making the payment should give details of the bank account from which the payment is made and the relationship with the beneficiary.

I LUMP SUM INVESTMENT

If you are from a city where there is no Investor Service Centre, you may submit a Demand Draft for the investment amount. Please enter the cheque or DD amount, DD Charges (if applicable) and the investment amount. The AMC shall bear the DD Charges incurred by an applicant as per demand draft charges prescribed by State Bank of India. The AMC shall, however, not refund any DD charges to the investor under any circumstances.

II Via SIP INVESTMENT

- The following criteria should be met for an SIP investment:

- Minimum amount per instalment is ₹ 500 and
- Minimum number of instalments is 10
- Aggregate investment via SIP should be at least ₹ 5000

If the SIP period is not specified by the investor then the SIP enrolment will be deemed to be for perpetuity and processed accordingly. The SIP facility will be available on the following dates in a month as chosen by the investor: 1st, 7th, 10th, 15th and 25th of every month. If any of the said dates happen to be a holiday / non-transaction day, then the SIP transaction will be processed on the next business day.

- SIP Mode - Auto Debit (ECS/Direct Debit) OR Post-Dated Cheques
- The first SIP instalment can be paid either through a Cheque, a Demand Draft (DD) or a Pay Order (PO). In case of payment of first instalment through DD and PO, the Auto Debit Bank details mentioned by you must be attested by the banker in Section 3 of Auto Debit Facility form.
- For your second and subsequent SIP Instalments you can pay either through Auto Debit (ECS/Direct Debit) or by Post Dated Cheques. If you wish to opt in or payments through Auto Debit, please indicate our preference for SIP Through Auto Debit (ECS) in the box provided for the purpose and fill in the attached AUTO DEBIT FACILITY FORM. If you wish to pay for your future SIPs through Post Dated Cheques, you should not fill in the **AUTO DEBIT FACILITY FORM**. Please mention the second and subsequent Instalment cheque details in the space provided for the purpose.
- The first instalment cheque should be dated with the date of submission of the Application Form. Please note the following:
 - For SIPs through PDCs, the first SIP cheque needs to be from the same bank account as the Post-Dated Cheques for your future SIP Instalment.
 - The Second and subsequent Instalment has to be at least 30 days after the date of the first SIP Instalment.
 - The first Instalment cheque and the subsequent Instalments (either through PDCs or Auto Debit) should be for the same amount.
- Please note that the Cheque should be made favouring the scheme name. For e.g. **"Pramerica Liquid Fund - Growth"**.
- In case of SIP through Auto Debit, the Auto Debit Authorisation needs to be filled in and signed by the Bank Account holders in the same order and manner in which the Bank account is held by them.
- In case of any mismatch between the 'No. of instalment' and the 'SIP period', the SIP period will be considered as per the Auto Debit Facility Form.
- In case of any discrepancy between the Common Application Form and the Auto Debit Facility Form, the SIP details provided in the latter will be considered for investment.

NRI investors

NRIs and PIOs may purchase units of the scheme(s) on a repatriation and non-repatriation basis, while FIIs may purchase units only on a repatriation basis. They shall attach a copy of the cheque used for payment or a Foreign Inward Remittance Certificate (FIRC) or an Account Debit Certificate from the bankers along with the application form to enable the AMC to ascertain the repatriation status of the amount invested. The account type shall be clearly ticked as NRE or NRO or FCNR, to enable the AMC determine the repatriation status of the investment amount. The AMC and the Registrar may rely on the repatriation status of the investment purely based on the details provided in the application form.

Repatriation basis

- NRIs and PIOs may pay their subscription amounts by way of Indian Rupee drafts purchased abroad, cheques drawn on Non-Resident External (NRE) Accounts or Indian Rupee drafts payable at par at any of the centres where the AMC has a designated ISC and purchased out of funds held in NRE Accounts / FCNR Accounts. FIIs may pay their subscription amounts either by way of inward remittance through normal banking channels or out of funds held in Foreign Currency Accounts or Non Resident Rupee Accounts maintained with a designated branch of an authorised dealer with the approval of RBI.
- In case Indian Rupee drafts are purchased abroad or from FCNR/NRE accounts, an account debit certificate from the bank issuing the draft confirming the debit shall also be submitted with the application form. NRIs shall also be required to furnish such other documents as may be necessary and as requested by the AMC/Mutual Fund/Registrar, in connection with the investment in the schemes.

Non-Repatriation basis

NRIs and PIOs may pay their subscription amounts by cheques/demand drafts drawn out of Non-Resident Ordinary (NRO) accounts/ Non-Resident Special Rupee (NRSR) accounts and Non Resident Non-Repatriable (NRNR) accounts payable at the city where the application form is accepted.

7. SWITCH FACILITY

You may choose to switch out all units purchased through this application to either **"PRAMERICA EQUITY FUND"** or **"PRAMERICA DYNAMIC FUND"**, in a lumpsum or in instalments via Systematic Transfer Plan (STP) by ticking the box for "Switch Facility".

Please note the following in case you are availing the Switch facility:

- Tick and choose the appropriate equity scheme and option you wish to switch into from the existing scheme.
- In case of Dividend option, please indicate the dividend facility you wish to opt for by ticking the box provided.
- Choose the mode of switch under 'Switch Frequency' section
- In case 'All units' are chosen, all the units held will be switched on the last day of the NFO of the selected equity scheme.
- If STP (Systematic Transfer Plan) mode is chosen, **kindly ensure that the STP Section is completely filled**. Please note that your first STP request would happen on the date of closure of the NFO of the equity scheme. Hence, you may choose the next available STP date for subsequent instalment.

For eg. if the NFO is from November 19, 2010 to December 3, 2010, the first STP will get effected on December 3, 2010. Hence, the next eligible date for STP may be chosen as December 15, 2010.

You can cancel the STP request at any time by giving a written request for the same. The cancellation of a registered STP request would take atleast 5 days.

- Minimum STP amount is ₹ 500 per instalment.
- Minimum number of instalments to be 10.
- Pramerica Mutual Fund will require atleast 7 days from the date of submitting this application form to start the STP into equity schemes.

8. NOMINATION DETAILS

As per SEBI regulations, you may nominate a maximum of 3 person, to whom the amounts will be payable in the event of death of the sole or all Unit Holders as the case may be, in respect of investment under a folio.

- The nomination may be made only by individuals applying for/holding units on their own behalf, singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of HUF, holder of POA cannot nominate.
- A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided in the application. Nomination can also be in favour of the Central Government, State Government, and a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
- A Non-Resident Indian can be a Nominee subject to the exchange control regulations in force, from time to time.
- Transfer of units in favour of a Nominee shall be valid discharge by the AMC against the legal heir.
- The cancellation of nomination can be made only by the individual(s) who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the units in favour of the Nominee.
- In the event of the unit holders not indicating the percentage of allocation/share for each of the nominees, the AMC shall settle the claim equally amongst all the nominees

9. DECLARATION AND SIGNATURES

- All signatures should be hand written in English or any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in both cases must be attested by a Judicial Magistrate or a Notary Public.
- If the application form is signed by a Power of Attorney (PoA) holder, the form should be accompanied by a notarised photocopy of the PoA. Alternatively, the original PoA may be submitted, which will be returned after verification. If the PoA is not submitted with the application, the Application Form will be rejected.
- In case of corporates or any non-individual investors, a list of authorised signatories should be submitted along with Application form or in case of any change in the authorised signatory list, the AMC / Registrar must be notified within 7 days.

SIP AUTO DEBIT FACILITY REGISTRATION CUM MANDATE FORM



Pramerica
MUTUAL FUND

INVESTORS SUBSCRIBING TO THE SCHEME THROUGH SIP AUTO DEBIT FACILITY TO COMPLETE THIS FORM COMPULSORILY ALONGWITH COMMON APPLICATION FORM

1. DISTRIBUTOR INFORMATION (Refer Instruction No. 1)		FOR OFFICE USE ONLY	
Distributor Code	Sub Broker Code	Branch Serial Code	Registrar Serial No.
ARN -			
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.			

2. APPLICANT INFORMATION (Refer Instruction No. 2)	
Application No. / Existing Folio No.	
Name of Sole/1 st Applicant	

3. SIP DETAILS (First SIP cheque and subsequent via Auto Debit Facility in select cities only) (Refer Instruction No. 3)			
Scheme Name	Option	Dividend Facility	Dividend Frequency # (Please ✓ any one)
<input type="checkbox"/> Pramerica Liquid Fund	<input type="checkbox"/> Growth*	<input type="checkbox"/> Payout	<input type="checkbox"/> Daily* <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
<input type="checkbox"/> Pramerica Ultra Short Term Bond Fund	<input type="checkbox"/> Dividend	<input type="checkbox"/> Re-investment*	#Dividend Reinvestment facility is available on a Daily/ Weekly/ Fortnightly/ Monthly frequency; Dividend Payout facility is available at Fortnightly & Monthly frequency.
* Default Option			

SIP Date : ☐ 1st ☐ 7th ☐ 10th ☐ 15th ☐ 25th ☐ All 5 Dates

Instalment Amount (in figures) ₹ Enrolment Period From** To

**Minimum SIP term should be for 10 months. Please fill the 'To date' only if 'No. of instalments' have been specified in the Common Application Form otherwise leave blank.

Third party cheque / transfer will not be accepted for investment

(Refer Instruction No. 7 of the Common Application Form)

EXCEPTION TO THIRD PARTY PAYMENT (ie. payment by guardian, employer or a custodian)	
The details of the cheque provided above pertain to my/our own bank account in my/our name	<input type="checkbox"/> Yes <input type="checkbox"/> No (Mandatory to ✓)
If No, my relationship with the bank account holder is (pls specify)	(Application Form without this information may be rejected)

DECLARATION & SIGNATURE: I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above to debit my/our account directly or through participation in Auto Debit. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform AMC, about any changes in my/our bank account. I/We have read and agreed to the terms and conditions mentioned overleaf. I/We confirm that the ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby declare that I/We do not have any existing Micro SIPs which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year.

SIGNATURE (S) (Applicants must sign as per Common Application Form)	<input checked="" type="checkbox"/> Sole/1 st Applicant/Guardian/Authorised Signatory/POA	<input checked="" type="checkbox"/> 2 nd Applicant/Guardian/Authorised Signatory/POA	<input checked="" type="checkbox"/> 3 rd Applicant/Guardian/Authorised Signatory/POA
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4. PARTICULARS OF BANK ACCOUNT (MANDATORY) (Refer Instruction No. 4)

Account Number																(Please provide Core Banking Account Number only)
Account Type	<input type="checkbox"/> CA <input type="checkbox"/> SB <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR															
Name of Sole / 1 st Account Holder																
Name of 2 nd Account Holder																
Name of 3 rd Account Holder																
Name of Bank																
Branch & City																
MICR Code (Mandatory)					IFSC Code					Mandatory to submit a cancelled cheque leaf of the bank account mentioned here.						
(9 digit code next to the cheque no. MICR code starting and / or ending with 000 is not valid for ECS).																
(11 digit no. appearing on your cheque leaf)																

DECLARATION & SIGNATURE: - I/We hereby, authorise Pramerica Mutual Fund and its authorised service providers, to debit my/our above mentioned bank account directly or by ECS (debit clearing) for collection of SIP payments.

SIGNATURE (S) (as in Bank records)	<input checked="" type="checkbox"/> Sole/1 st Account Holder	<input checked="" type="checkbox"/> 2 nd Account Holder	<input checked="" type="checkbox"/> 3 rd Account Holder
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5. BANKER'S ATTESTATION (Mandatory, if your First SIP instalment is through a Demand Draft/Pay Order) (Refer Instruction No. 4(e))

Certified that the signature of account holder and the Details of Bank account are correct as per our records	Signature of Authorised Official from Bank (Bank stamp and date)
Signature verification request (To be retained by the Customer's Bank)	

The Branch Manager Date

Bank Branch

Sub : Mandate verification for A/c. No.

This is to inform you that I/We have registered for making payment towards my investments in Pramerica Mutual Fund by debit to my /our above account directly or through ECS (Debit Clearing). I/We hereby authorize to honour such payments and have signed and endorsed the Mandate Form. Further, I authorize my representative (the bearer of this request) to get the above Mandate verified. Mandate verification charges, if any, may be charged to my/our account.

Thanking you,

SIGNATURE (S) (as in Bank records)	<input checked="" type="checkbox"/> Sole/1 st Account Holder	<input checked="" type="checkbox"/> 2 nd Account Holder	<input checked="" type="checkbox"/> 3 rd Account Holder
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All sections to be completed legibly in English in Black/Blue coloured ink and in BLOCK letters.

GENERAL GUIDELINES

1. This form should be used only for Registration of SIP through Auto Debit (ECS/Direct Debit) facility.
2. Applicants need to fill in this form together with the Common Application Form, for the purpose of availing the facility of Systematic Investments through Auto Debit (ECS/Direct Debit) facility.
3. Please read the terms and conditions for SIP in Key Information Memorandum.
4. Please furnish all information marked as 'MANDATORY' in the form. In the absence of any mandatory information, your application for investment would be rejected.
5. Please refer the 'INSTRUCTIONS' below. This will help you fill in the necessary details in the form correctly and completely.
6. **SIGNATURES:** This form needs to be signed at three places marked X and XX. Please sign the forms as per instructions below.
 - a. ✕ To be signed by Applicants for SIP investment in the same order in which the Common Application Form is signed.
 - b. ✕✕ To be signed by Bank Account Holders in the order in which the Bank Account is held and the manner in which their signatures appear in the Bank records.

TERMS AND CONDITIONS FOR AUTO DEBIT FACILITY

1. Our SIP Auto Debit (ECS/Direct Debit) Facility is offered to you using Reserve Bank of India's (RBI) Electronic Clearing Service (Debit Clearing Facility). By opting for this facility you agree to abide by the terms and conditions subject to which this facility is offered by RBI.
2. The SIP Auto Debit (ECS/Direct Debit) Facility is offered only to investors having Bank Accounts in select cities listed below:
 - Agra • Ahmedabad • Allahabad • Amritsar • Anand • Asansol • Aurangabad • Bangalore • Bardhaman • Baroda • Belgaum • Bhavnagar • Bhilwara • Bhopal • Bhubaneswar • Bijapur • Bikaner • Calicut • Chandigarh • Chennai • Cochin • Coimbatore • Cuttack • Dargeeling • Davangere • Dehradun • Delhi • Dhanbad • Durgapur • Erode • Gadag • Gangtok • Goa • Gorakhpur • Gulbarga • Guwahati • Gwalior • Haldia • Hubli • Hyderabad • Indore • Jabalpur • Jaipur • Jalandhar • Jammu • Jamnagar • Jamshedpur • Jodhpur • Kakinada • Kanpur • Kolhapur • Kolkata • Kota • Lucknow • Ludhiana • Madurai • Mandya • Mangalore • Mumbai • Mysore • Nagpur • Nasik • Nellore • Patna • Pondicherry • Pune • Raichur • Raipur • Rajkot • Ranchi • Salem • Shimla • Shimoga • Sholapur • Siliguri • Surat • Thirupur • Tirupati • Trichur • Trichy • Trivandrum • Tumkur • Udaipur • Udupi • Varanasi • Vijaywada • Vizag

The cities listed above may be modified/ removed at any time purely at the discretion of Pramerica Mutual Fund without assigning any reason or prior notice.
3. Applications for SIP Auto Debit (ECS/Direct Debit) Facility would be accepted only if the Bank Branch in the locations listed above in point 2 participates in local MICR/ECS Clearing. In case your bank chooses to cross-verify the Auto Debit mandate with you as the Bank's customer, you would need to promptly act on the same. Pramerica Mutual Fund (PMF) and its Registrar and other service providers will not be liable for any transaction failures due to rejection of the transaction by your bank/branch or its refusal to register the SIP mandate or any charges that may be levied by your Bank/Branch on you.
4. The installment after the Common period should be dated after the date of declaration of first NAV. Any payments intended for the interim period will not be processed and will be treated void. While mentioning the SIP Auto Debit period (from) in this form, please consider the minimum gap requirement and indicate the correct SIP Auto Debit commencement date. Please refer to point 3 in instructions.
5. For cancellation of the Auto Debit (ECS/Direct Debit) Facility, you need to give a notice 30 days in advance to the AMC or its Registrars.
7. You will not hold Pramerica Mutual Fund (PMF)/ Pramerica Asset Managers Private Limited (PAMPL)/ Trustees to the Fund, its Registrars and other service providers responsible if the transaction is not/incorrectly effected due to incomplete or incorrect instructions from the applicant. Besides, you will not hold either of the entities listed herein before responsible if the transaction is delayed or not effected or the applicant bank account is debited in advance or after the specific SIP date due to various clearing cycles of RBI's ECS/local holidays.
8. PMF/PAMPL reserves the right not to re-present any mandate for Auto Debit facility, if the registration could not be effected in time for reasons beyond its/their control.
9. PMF/PAMPL/Trustees to the Fund, its Registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage, etc. incurred/suffered by you as a result of use of this facility.
10. As per the RBI circular DPSS (CO) EPPD No. 191/04.01.01/2009-2010, SIP- ECS instructions from January 01, 2010, has to be forwarded only with the Core Banking System (CBS) Account Numbers. In order to enable smooth processing of the debits from your bank account towards purchase of SIP units, we request you to mention the CBS account number, wherever applicable.

INSTRUCTIONS

1. **Distributor Information**
Please mention "Direct" in case the application is not routed through any distributor.
2. **Applicant Information**
Please mention the Common Application Form number / existing folio number and furnish the name of sole/ 1st applicant as it appears in the Common Application Form.
3. **SIP Details**
Please furnish the following details with respect to your systematic investment:
 - a. **Scheme Details** - Scheme Name, Scheme Plan and Option. (If the Scheme details indicated in the Auto Debit Facility form materially vary from the Scheme details indicated in the Common Application Form, the Scheme details mentioned in the Common Application Form would be considered).
 - b. **SIP Dates** - 1st, 7th, 10th, 15th & 25th of every month. You also have a choice to have SIP instalments on all five dates by ticking the appropriate box provided for All 5 Dates).
 - c. **SIP Instalment Amount (in figures)**
 - d. **SIP Auto Debit Enrollment Period** - Please mention the Auto Debit Enrollment commencement date and end date. In order to save you from the hassle of sending us renewal instructions each time your SIP expires, Pramerica offers you two ways to invest. If you know how long you wish to invest for, specify the From Date and To Date. Alternatively, you can choose the open option - where you specify only the To Date - and can discontinue your SIP by writing to us.

Please note if the SIP Dates, SIP Instalment Amount and the SIP Auto Debit Period indicated in the Auto Debit Registration Form materially vary from the SIP Dates, SIP Instalment Amount and the SIP Auto Debit Period indicated in the Common Application Form, the details contained in the Auto Debit Registration Form would be considered for enrolment.
4. **Particulars of Bank Account**
 - a. Please furnish your Bank Account details from which the Auto Debit is to be effected. Please note that the sole/first account holder in bank records need not necessarily be the sole/first applicant in the "Applicants' Personal Details" section on this form. If your bank is part of the Core Banking System (CBS), then the full CBS Account Number should be provided. Please refer to point 10 of Terms & Conditions for Auto Debit Facility.
 - b. Please mention names of all bank account holders. If the mode of operation of your bank account is joint, all bank account holders would need to sign at the places marked ✕✕ in the same order and manner in which their signatures appear on bank records.
 - c. Please indicate the Bank Account Type.
 - d. **It is mandatory to furnish the 9 Digit MICR Code of your Bank in this section.** This is the number appearing next to the cheque number on the MICR band at the bottom of the cheque. **In the absence of this information, your SIP Application would be rejected. Please note MICR code starting and / or ending with 000 is not valid for ECS.**
 - e. Please provide either a cancelled cheque leaf (or copy thereof) of the bank account from which the Auto Debit is to be effected or the Banker's attestation (in Section 5 of the Auto Debit Registration Form in case the first SIP Instalment is through a DD or a Pay Order). This would help us cross-verify your bank details appearing in the cheque with the bank details furnished in this form and let you know of discrepancies, if any, for early corrective action.

AUTO DEBIT FACILITY FORM- CHECKLIST

✓	Applicant's Information	<input type="checkbox"/> Application Form No.	<input type="checkbox"/> Name of Sole/1 st Applicant		
✓	Scheme Details	<input type="checkbox"/> Scheme	<input type="checkbox"/> Option	<input type="checkbox"/> Dividend Facility	<input type="checkbox"/> Dividend Frequency (if applicable)
✓	SIP Details	<input type="checkbox"/> SIP Instalment Amount	<input type="checkbox"/> Preferred SIP Date	<input type="checkbox"/> SIP Auto Debit Period	
✓	Bank Account Details	<input type="checkbox"/> Name of Sole/1 st Bank A/c Holder	<input type="checkbox"/> Bank A/c No. (CBS A/c, wherever applicable)		
✓	MICR code	<input type="checkbox"/> Cancelled Cheque leaf/Copy of Cheque leaf is attached.			
✓	Banker's attestation in Section 5 where the payment for first instalment is through a Demand Draft or Pay Order.				
✓	All Applicants for the SIP Investment have signed the Form at the places marked "x" in the same order and manner in which they have signed the Common Application Form.				
✓	All Bank Account Holders have signed the Form at the places marked "x x" in the same order and manner in which their signatures appear on Bank Records.				