

# Common Transaction Slip

ARN-34120

Kindly read the KIM, SID and SAI carefully before investing  
Please read the instructions before completing this Application form and fill the sections in CAPITAL

1	DISTRIBUTOR CODE/ARN	SUB-BROKER CODE	REGISTRAR /BANK SR NO	DATE & TIME OF RECEIPT
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FOR OFFICE USE ONLY

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investors assessment of various factors including the service rendered by the distributor.

2	EXISTING INVESTORS			
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Folio No	Name
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3	ADDITIONAL PURCHASE REQUEST (Cheque/DD favoring 'Scheme Name')			
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Scheme Name				
Plan/Option				
Mode of Payment	<input type="checkbox"/> Cheque	<input type="checkbox"/> DD	<input type="checkbox"/> Fund Transfer	Cheque/DD No.
Cheque/DD Dated	Drawn on Bank and Branch			
Gross Amount (Rs.)	DD Charges (Rs.)	Net Amount (Rs.)		

4	REDEMPTION REQUEST			
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Scheme Name				
Plan/Option				
Amount (Rs)	Number of Units	<input type="checkbox"/>	All Units	

5	SWITCH REQUEST			
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From : Scheme				
Plan/Option				
To : Scheme				
Plan/Option				
Amount (Rs.)	Number of Units	<input type="checkbox"/>	All Units	

6	UPDATION OF CONTACT DETAILS (Address updation only for Non-KYC holders. KYC compliant customer please contact CVL)			
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Address			
City	Pin	State	Country
Email	Tel	Mobile	

7	UPDATION OF BANK DETAILS (Please attach cancelled cheque )			
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Bank Name	Branch
Bank Account No.	Bank Account Type <input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR
IFSCCode	MICR Code
Bank Address	

AMC reserves the right to use any mode of payment as deemed appropriate. I/We understand that AMC shall not be responsible if transaction through DC/RTGS/NEFT could not be carried out because of incomplete or incorrect information.

8	NOMINATION DETAILS			
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I/We hereby nominate the under mentioned nominee to receive the amount to my/our credit in event of my/our death. I/We also understand that all payments and settlements made to such Nominee shall be a valid discharge by the AMC/Mutual Fund/Trustee Company.

Name of Nominee	%	Date of Birth	If Nominee Is Minor
Name of Nominee	%	Date of Birth	If Nominee Is Minor
Name of Nominee	%	Date of Birth	If Nominee Is Minor
* Name of the Guardian	If Nominee Is Minor		Relationship with the Minor
Address of the Nominee/Guardian			

I/We hereby cancel the nomination made by me / us on DD / MM / YYYY

**Acknowledgment Slip (To be filled in by the investor)**

Folio/Application No.

Received from Mr./Ms./M/s.

Scheme

Nature of Transaction  Updation of contact details  Updation of Bank particulars  Nomination  KYC Updation

Additional Purchase  Cheque No. Amount (Rs.)

Redemption  No. of Units

Collection Centre 's Stamp & Receipt Date and Time

	Amount (Rs) / Unit	Frequency	Date of commencement
Systematic Investment Plan	Cheque Nos.		
Systematic Withdrawal Plan			
Systematic Transfer Plan	From Scheme: To Scheme		

# Common Transaction Slip

## 9 KYC UPDATION (Please attach proof)

First Holder  Second Holder  Third Holder

## 10 SYSTEMATIC INVESTMENT PLAN (SIP) THROUGH POST DATED CHEQUES (Investor subscribing to SIP through ECS/Direct Debit must fill up the SIP Auto Debit Form)

Name of the Scheme/Plan/Option																		
SIP Date	<input type="checkbox"/> 1st	<input type="checkbox"/> 7th	<input type="checkbox"/> 10th	<input type="checkbox"/> 15th	<input type="checkbox"/> 20th	<input type="checkbox"/> 25th												
Frequency	<input type="checkbox"/> Monthly	<input type="checkbox"/> Quarterly	SIP From				M	M	Y	Y	SIP To				M	M	Y	Y
Cheque(s) Details	No. of Cheque(s)			SIP Amount (in figures)						Cheque(s) No.								
Cheque(s) drawn on	Name of Bank and Branch																	

New Investors are requested to fill in the common application form

## 11 SYSTEMATIC WITHDRAWAL PLAN (SWP)

Name of the Scheme/Plan/Option																		
Frequency	<input type="checkbox"/> Monthly	<input type="checkbox"/> Quarterly	SWP from				M	M	Y	Y	SWP To				M	M	Y	Y
Amount per Withdrawal (Rs)	No of Installments																	

## 12 SYSTEMATIC TRANSFER PLAN (STP)

From Scheme	Plan	Option	To Scheme	Plan	Option								
STP Dates <input type="checkbox"/> 1st <input type="checkbox"/> 7th <input type="checkbox"/> 10th <input type="checkbox"/> 15th <input type="checkbox"/> 20th <input type="checkbox"/> 25th													
Frequency <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly													
STP from			M	M	Y	Y	STP To			M	M	Y	Y
Amount Per Installment (Rs) <input type="checkbox"/> No of Installments													

Please see the Plans & Options and Dividend policy details in the Scheme Information Document before filling in the above details.

## 13 DECLARATION AND SIGNATURES

I/We have read and understood the contents of the Scheme Information Document and Statement of Additional Information of the Scheme (s). I/We hereby apply for units of the scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme and to other statutory requirements of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objective, investment pattern and risk factors applicable to Plan/Options under the Scheme (s). I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the scheme, then Peerless Funds Management Co Ltd, has full right to refund the excess to me/us to bring my/our investment below 25%. I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making this investments. I/We undertake that these investments are my/our own and acknowledge that AMC reserves the right to call for such other additional information/documents as required to comply with KYC norms. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable law enacted by the Government of India or any Statutory Authority. I/We hereby declare that the particulars above are correct. I/We hereby, further agree that the Fund can directly credit all the dividend payouts and redemption amount to my bank details given above NRIs only. I/We confirm that I am/We are Non-resident of Indian Nationality/ Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from my/our Non-resident External/Ordinary Account/FCNR/NRSR Account. The ARN holder has disclosed to me/us all the commission (in the form of train commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Sole/1st applicant/Guardian/Authorised Signatory/POA Holder	2nd Applicant/Authorised Signatory/POA Holder	3rd Applicant/Authorised Signatory/POA Holder
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All future communication in connection with this application should be addressed to the Registrar of the scheme or Customer Service Cell of Peerless Mutual Fund.

**Customer Service Cell :**  
Peerless Funds Management Co. Ltd.  
Ground 03, Churchgate Chambers,  
Sir. Vithaldas Thackersay Marg,  
New Marine Lines, Mumbai - 400 020.

**Registrar :**  
Karvy Computershare Pvt. Ltd.,  
(Unit: Peerless Mutual Fund),  
8-2-596 Karvy Plaza, Avenue 4,  
Street No.1, Banjara Hills, Hyderabad 500034.

You can reach us in three ways



Web site [www.peerlessmf.co.in](http://www.peerlessmf.co.in)



Toll Free : 1800 200 9995  
Non Toll Free : 022 61779922



[connect@peerlessmf.co.in](mailto:connect@peerlessmf.co.in)

**SYSTEMATIC INVESTMENT PLAN (SIP)**  
**SIP AUTO DEBIT FORM**

**New Investors are requested to fill in the Common Application form.**  
First SIP Cheque and subsequent via Auto Debit in selected cities only.

**1 REGISTRATION CUM MANDATE FORM FOR ECS (Debit Clearing/Auto Debit)**

(Please  )  New Registration  Renewal of SIP  Change in Bank Details

**2 INVESTOR AND INVESTMENT DETAILS**

Sole/First Investor Name												
Folio/Application No.												Existing Investors please mention Folio No. New applicants please mention the application form No.
Scheme												
Plan												
Option												

**3 SIP DETAILS**

Each SIP Amount (Rs)																
First SIP Cheque No.	Cheque Amount (Rs)					Cheque Dated										
SIP Auto Debit dates	<input type="checkbox"/> 1st	<input type="checkbox"/> 7th	<input type="checkbox"/> 10th	<input type="checkbox"/> 15th	<input type="checkbox"/> 20th	<input type="checkbox"/> 25th	Frequency -	<input type="checkbox"/> Monthly	<input type="checkbox"/> Quarterly							
SIP Period	SIP From				M	M	Y	Y	SIP To				M	M	Y	Y
SIP Date should be either 1st / 7th / 10th / 15th / 20th / 25th (Note: Cheque should be drawn on bank details provided below also please allow minimum one month for Auto Debit to register and start.)																

I/We hereby, authorise Peerless Mutual Fund and their authorised service providers, to debit my/our following bank account ECS (Debit Clearing) /Auto debit to account for collection of SIP payment

**4 BANK DETAILS (please attach a copy of the cheque of below mentioned bank account)**

Account Holder Name																						
Bank Name																						
Branch Name											City											
Account Type	<input type="checkbox"/> Saving	<input type="checkbox"/> Current	<input type="checkbox"/> NRO	<input type="checkbox"/> NRE	<input type="checkbox"/> Others	Please Specify																
MICR Code												IFSCCode										

I/We hereby declare that the particulars given above are correct and express my willingness to make payment referred above through participation in ECS/Auto debit. If the transaction is delayed or not effected at all reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will inform Peerless Mutual Fund about any changes in my bank account. I/We have read and agreed to the terms and conditions mentioned overleaf. I/We have read and understood the contents of SID/KIM, I/We hereby apply for the respective units of Peerless Mutual Fund scheme at NAV based the resale price and agree to abide by terms, conditions, rules and regulation of the scheme (s).

First Account Holder (As in Bank Records)	Second Account Holder (As in Bank Records)	Third Account Holder (As in Bank Records)

Place : \_\_\_\_\_ Date : DD/MM/YY

**FOR BANK USE ONLY**

I/We hereby certify that the particulars furnished above are correct as per our records and we hereby declare that the copy of this form, duly completed, has been submitted to us.

Recorded On \_\_\_\_\_ Recorded By \_\_\_\_\_

Mandate reference No. \_\_\_\_\_

Branch : \_\_\_\_\_ Date : DD/MM/YY

Signature of the authorised official from the bank	Bank Stamp
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**AUTHORISATION OF THE BANK ACCOUNT HOLDER**

This is to inform that I/We have registered for the RBI's Electronics Clearing Service (Debit clearing)/ Auto debit facility and that my payment towards my SIP installments of Peerless Mutual Fund shall be made from my/our above mention bank account with your bank. I/We authorise the representative carrying this ECS/Auto debit form to get it verified and executed. I/We hereby authorise you to debit verification charges if any from my account.

First Account Holder (As in Bank Records)	Second Account Holder (As in Bank Records)	Third Account Holder (As in Bank Records)

**Acknowledgment Slip (To be filled in by the investor) SIP through ECS /Auto Debit Form**

Received from Mr./Ms./M/s. \_\_\_\_\_

An application for Scheme : \_\_\_\_\_

Plan : \_\_\_\_\_

Option : \_\_\_\_\_

Amount \_\_\_\_\_

Frequency \_\_\_\_\_

Date of Commencement \_\_\_\_\_

Collection Centre 's Stamp & Receipt  
Date and Time



Web site [www.peerlessmf.co.in](http://www.peerlessmf.co.in)



Toll Free No. 1800 200 9995  
Non Toll Free : 022 61779922



[connect@peerlessmf.co.in](mailto:connect@peerlessmf.co.in)

## INSTRUCTIONS

- Please refer to the Key Information Memorandum (KIM), Statement of Additional Information (SAI) and Scheme Information Document (SID) for Applicable NAV, Risk Factors, Load and other information of the Scheme / Plan before investing.
- In case you would like to Invest, Redeem, Transfer, Switch, effect a transaction in more than one folio please use separate Transaction Slip for each transaction.
- For Additional Purchase: a) Purchase amount should be greater than or equal to Minimum Investment / Minimum Additional Investment amount b) Following particulars should be clearly filled in the Purchase /Additional Purchase Request i) Scheme, Plan & Option. ii) The choice between Payout or Re-investment of Dividend, if dividend Option is chosen iii) Instrument Details (Cheque / DD No., Date of Instrument, Drawee Bank & Branch) c) The investment Cheque/Demand Draft should be drawn in favour of the Scheme / Plan and payable at the location where this request is being submitted. i) Investment Amount should match with the Cheque / DD Amount.
- For Switch: a) The present value of investment in the scheme from which the switch out is requested should be greater than to the minimum investment / minimum additional investment requirement of the scheme to which the investment is proposed to be switched in. b) following should be indicated clearly in the switch request i) Scheme, Plan & Option from which the investment is to be switched out. Scheme, Plan & Option to which the investment is to be switched in. ii) If Dividend Option is chosen for the scheme in which the investment is being switched in, the choice between Payout or Re-investment of Dividend.
- For Redeeming units: a) The redemption amount should be greater than or equal to 100 units or Rs. 1000/- b) Following should be indicated clearly in the redemption request i) Scheme, Plan & Option from which the redemption is required. ii) The amount or the number of units to be redeemed. (For entire unit balance redemption in a scheme, please tick the 'All Units' box in the redemption panel. Units or Amount need not be indicated in this case). If the redemption payout is required in a different bank account, please mention the new bank details or in a different mode / mechanism. In the absence of any indication, redemption proceeds would be paid out into the Bank Account last furnished and taken on record.
- Incorrect / Incomplete applications are liable to be rejected.

### Terms and Conditions For SIP,STP and SWP

- If any chosen day falls on a non business day, the next business day will be considered as the transaction date.
- An Account statement will be dispatched within 10 working days for the first investment.. The subsequent account statement will be dispatched once every quarter ending March, June, September and December within 10 working days of the end of the respective quarter or after completion of the tenure whichever is earlier. In case of specific request received from unit holder, Mutual Fund endeavor to provide the account statement within 5 working days from the receipt of such request without any charges.
- The unit holders can choose to opt out from the SIP/STP/SWP at any point of time by submitting a written request to the nearest designated Investor Service Center. Such request for discontinuation should be received at least 30 working days for SIP,7 working days for STP,15 working days for SWP days prior to the next due date of the SIP/SWP/STP.
- Peerless AMC reserves the right to reject any application without assigning any reason thereof and the Trustee reserves the right to change/modify the terms and conditions of SIP/SWP/STP
- For mandatory requirements like Bank account details, Know Your Customer (KYC) and required documents please refer KIM/SID.

### Systematic Investment Plan

- New investors, who wish to enroll for SIP, should fill the Common Application Form & SIP Auto Debit form /Common Transaction Slip.
- Direct Debit/ECS instructions will take minimum 30 days for registration with the bank and hence the first auto debit will be carried out only after 30 days on the SIP date. The AMC reserves the right to modify the SIP registration period.
- First SIP cheque could be submitted on any business day.
- Investors opting for SIP investment can subscribe to this facility subject to the condition that each of the SIP installments including the initial cheque should be of the same amount.
- Initial cheque should be drawn on any bank, which is situated at & is a member of the Banker's Clearing House located at the place where the SIP application is submitted or payable at par & should participate in local MICR clearing. Please contact the nearest designated Investor Service Centre for the updated list. For outstation applications, the initial DD has to be payable at the nearest AMC locations. No outstation cheques will be accepted. The cheque should be drawn in favor of the scheme chosen scheme name & crossed "A/c Payee Only".
- For payments by ECS/Auto Debit mode, investors/unit holders are required to give SIP Auto Debit form to debit their bank accounts at periodic intervals & credit the subscription proceeds to Peerless Mutual Fund Bank Account.
- For change in bank mandate, the request must be submitted 30 days prior to be SIP dates.
- Returned/Dishonored cheque/ECS Rejects will not be presented again for collection. If the 1st installment cheque is dishonored, the SIP processing/registration will be rejected.
- SIP enrollment will be discontinued in case the SIP installment is not honored consecutively for 3 SIP installments.
- If investor has not provided the SIP frequency/period/date, the default SIP frequency would be Monthly & the SIP installments would be the minimum number of installments of the respective schemes as specified in the Scheme Information Document.
- The default date will be considered as 7th of the month for Monthly & Quarterly option.
- Additional SIP: If an investor wants to enroll in another scheme & continue for the existing folio, then the investor has to submit a duly filled & signed SIP form along with the first cheque (if investment is in the new scheme) & ECS /Auto Debit form.
- After completion of the tenure, in case the investor wants to continue his SIP investment for another tenure with the existing folio, then the investor has to submit a duly filled & signed SIP form & ECS Mandate Form.
- As per Prevention of Money Laundering Act 2002, it is mandatory for all investors to be KYC compliant while making an application for a subscription of units amounting to Rs. 50,000 & above. Thus application for SIP installment of value Rs. 50,000 & above without a valid KYC compliance may be rejected.
- ECS facility is offered to all customers of all banks in the following cities :

List of Cities for Auto Debit facility Via ECS					
Agra	Bikaner	Gadag	Jammu	Mysore	Sholapur
Ahmedabad	Calicut	Gangtok	Jamnagar	Nagpur	Siliguri
Allahabad	Chandigarh	Goa	Jamshedpur	Nasik	Surat
Amritsar	Chennai	Gorakhpur	Jodhpur	Nellore	Thirupur
Asansol	Cochin	Gulbarga	Kakinada	Patna	Tirupati
Aurangabad	Coimbatore	Guwahati	Kanpur	Pondicherry	Trichur
Bangalore	Cuttack	Gwalior	Kolhapur	Pune	Trichy
Bardhaman	Dargeeling	Haldia	Kolkata	Raichur	Trivandrum
Baroda	Davangere	Hubli	Lucknow	Raipur	Tumkur
Belgaum	Dehradun	Hyderabad	Ludhiana	Rajkot	Udaipur
Bhilwara	Delhi	Indore	Madurai	Ranchi	Udipi
Bhopal	Dhanbad	Jabalpur	Mandya	Salem	Varanasi
Bhubaneshwar	Durgapur	Jaipur	Mangalore	Shimla	Vijaywada
Bijapur	Erode	Jalandhar	Mumbai	Shimoga	Vizag

- The cities mentioned above may be modified/updated/changed/removed at any time in future at the discretion of the AMC without assigning any reason or prior notice. If any city, bank/branch is removed, SIP (ECS/Auto debit) instructions for investors in such cities, bank branch will be discontinued without prior notice.

### Systematic Transfer Plan /Systematic Withdrawal Plan

- All valid requests for STP/SWP forms from one scheme to the other scheme would be treated as switch-out/redemption & switch-in/purchase at the applicable NAV of the respective Scheme (s). The difference between the NAV of two schemes will be reflected in the number of units allotted.
- Minimum Investment Amount in Transfer Out scheme should be as per the respective SID/ Addendum.
- Units marked under Lien, Pledge or Lock-in Period in the Transfer Out scheme will not be eligible for STP/SWP.
- All valid applications will be processed as per the applicable uniform cut off timings on the working days as mentioned in the respective scheme SID.
- A Unit holder who has opted for STP/SWP under a specific Scheme can also redeem or switch his Units to any other eligible Scheme provided he has sufficient balance in his account on the date of such a request.
- STP will be terminated automatically in case of a Zero balance in the respective Scheme-Folio on the run-date or expiry of the enrolment period whichever is earlier.

**"Mutual Fund investments are subject to market risks, read all scheme related documents carefully".**